



PATENT
Docket No.: 16869N-031100US
Client Ref. No.: NT0419US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Norio CHUJO, et al.

Application No.: 09/930,806

Filed: August 15, 2001

For: Optical Modules and
Methods of Making the Optical
Modules

Examiner: Stephone B. Allen

Art Unit: 2878

**Response After Allowance
and Request for Corrected Notice of Allowability**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

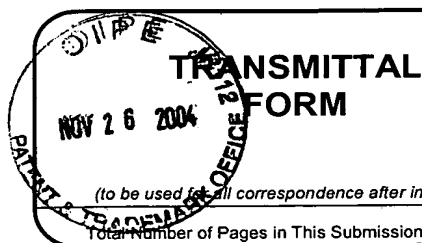
Counsel for Assignee acknowledges receipt of the Notice of Allowance issued in the above patent application. The Notice of Allowability, however, notes that no certified copy of the priority document has been received. A review of the file indicates that the certified copy of the priority document, Japanese Application No. 2001-192704, was sent to the U.S. Patent Office with the original patent application at the time of filing. It is further noted that the priority document was received by the U.S. Patent Office Mailroom, as evidenced by the return receipt postcard for the patent application (copy enclosed) and the imaged copy of the application Transmittal Sheet obtained from the Patent Office PAIR system, copy also enclosed. Counsel believes that the certified priority document was received by the U.S. Patent Office, but was somehow misplaced between the Patent Office Mailroom and the examining division. A copy of the title page from the certified priority document is enclosed herewith for reference.

Accordingly, counsel requests that a corrected Notice of Allowability be issued. If the Examiner believes a telephone is in order regarding this matter, he is invited to telephone the undersigned at 650-326-2400.

Respectfully submitted,

Robert C. Colwell
Reg. No. 27,431

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60362352 v1



Application Number	09/930,806
Filing Date	August 15, 2001
First Named Inventor	Chujo, Norio
Art Unit	2878
Examiner Name	Stephone B. Allen
Attorney Docket Number	16869N-031100US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
1. Response After Allowance
 2. COPY return postcard
 3. COPY Utility Patent Application Transmittal (from PAIR)
 4. COPY title page from certified copy of Japanese 2001-192704
 5. Return postcard

- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
- ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Robert C. Colwell		
Date	November 23, 2004	Reg. No.	27,431

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

Margaret K. Stephan

Date

November 23, 2004

COPY

**PATENT APPLICATION
FILING ACKNOWLEDGMENT**

PA 3164 56 v1

Mailing Date: August 15, 2001 Express Mail No. EL525753084US
File No.: 16869N-031100 Attorney: RCC:mks
Inventor(s): Norio Chujo, et al.
Title: Optical Modules and Methods of Making the Optical Modules

Combined Declaration/

☒ Power of Attorney (signed)
☐ Assignment of Patent Application
☐ Recordation Form Cover Sheet

☐ Declaration (
☐ Power of Attorney
☐ Verified Statement

No. pages of specification,
including claims & Abstract

27

1

ADS

No. sheets of drawings

12 fml

Information Disclosure Statement

Transmittal

1

1

PTO/SB/08A + refs.

Fee Transmittal

2

Certified copy of appln.

Please stamp the date of receipt of the enclosed documents and return this card to addressee.



Please type a plus sign (+) inside this box



PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 16869N031100

First Inventor Chujo, Norio

Title OPTICAL MODULES AND METHODS OF MAKING THE OPTICAL MODULES

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Express Mail Label No. EL525753084US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 27]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 12]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper number of pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed) (JP 2001-192704)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ / _____
Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

20350
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Robert C. Colwell

Registration No. (Attorney/Agent)

27,431

Signature

Robert C. Colwell

Date

August 15, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.
PA 3164116 v1

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PTO/SB/05 (11-00)
08/15/01

NT041945

16864N-031100

日 本 国 特 許 庁 **COPY**
JAPAN PATENT OFFICE

別紙添付の書類に記載されている事項は下記の出願書類に記載されている事項と同一であることを証明する。

This is to certify that the annexed is a true copy of the following application as filed with this Office

出 願 年 月 日

Date of Application:

2001年 6月26日

出 願 番 号

Application Number:

特願2001-192704

出 願 人

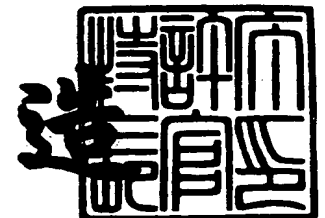
Applicant(s):

日本オプネクト株式会社

2001年 7月27日

特 許 庁 長 官
Commissioner,
Japan Patent Office

及 川 耕 造



出証番号 出証特2001-3066034